

BLACKWELL GLOBAL INVESTMENTS (UK) LIMITED

General Regulatory Information

GENERAL REGULATORY INFORMATION

Blackwell Global Investments (UK) Limited is a limited liability company registered in England and Wales with its registered office at 107 Cheapside, London EC2V 6DN. Company Number 09241171. Blackwell Global Investments (UK) Limited is authorised and regulated by the Financial Conduct Authority. Financial Services Register Number 687576.

General Regulatory Information

Regulation

Blackwell Global Investments (UK) Limited (Hereafter Blackwell Global) is authorised and regulated by the Financial Conduct Authority (FCA), registration number 687576. The FCA is based at 25 The North Colonnade, Canary Wharf, London E14 5HS and its website can be found at www.fca.gov.uk. Regulation by the FCA demands that Blackwell Global implements vigorous systems and controls regarding capital adequacy, conduct of business and client money. CFDs and FX are leveraged products and carry a high level of risk to your capital. It is possible to lose more than your initial deposit and you may be required to make further payments. These products may not be suitable for all clients, so please ensure you fully understand the risks involved.

Financial Services Compensation Scheme (FSCS)

We are a member of the Financial Services Compensation Scheme (the "FSCS"). The FSCS is only available to certain types of claimants and claims. Payments to eligible claimants under the FSCS will vary depending on the type of protected claim (e.g. deposits or investments) the claimants hold with respect to the relevant institution. Payments under the FSCS in respect of investments and deposits are subject to a maximum payment to any eligible claimant of £50,000 (in relation to investments) and £85,000 (in relation to deposits). Further and up to date details of the FSCS (and compensation levels) are available on request or at the FSCS's official website at www.fscs.org.uk.

Client Money

Blackwell Global is subject to the FCA's client money rules with regards to the handling of funds belonging to its clients. The purpose of the client money rules is to ensure that an authorised firm provides adequate protection for funds which it holds on behalf of clients.

As a retail client, all funds which you transfer to us in connection with your account will be treated as client money for the purposes of the FCA Rules. This means that such funds will be segregated from our money and will not be used by us in the course of our business. The funds will be placed into a segregated client money bank account held at an approved bank in the EEA, currently this is Barclays Bank.

In the event of a failure of Blackwell Global Limited, the FCA's client money rules prescribe the way in which funds which are held in a client money account would be returned to clients. The rules on client money, and in particular on the distribution of client money in the event of the failure of a firm or its bankers, are complex. Like all authorised firms, however, Blackwell Global will always deal with client money it holds in accordance with these rules.

In the event of a shortfall, you may be entitled to compensation from the Financial Services Compensation Scheme.

Responsible Trading

Blackwell Global wants clients to enjoy trading and is committed to providing a secure, fair and socially responsible service. Whilst the majority of people do trade within their means, for some trading can become a problem. Blackwell Global enforces a self-exclusion policy where appropriate. If you need further help or to talk to someone about responsible trading then contact one of the appropriate organisations, such as Gamblers Anonymous: www.gamblersanonymous.org or GamCare, www.gamcare.org.uk or 0845 6000 133

Treating Customers Fairly (TCF)

Treating Customers Fairly (TCF) is central to Blackwell Global's corporate culture and behaviour and we are determined to deliver fair outcomes to all clients. We apply appropriate and accurate measures to our analysis and use employee, client and other external feedback where appropriate, to improve our treatment of clients.